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OCT 2 7 2003

**TECHNOLOGY CENTER R3700** 

Attorney Docket: 225/49907

PATENT

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Spplicant:

KARL-HEINZ BAUMANN ET AL.

Serial No.:

09/854,919

Group Art Unit: 3743

Filed:

MAY 15, 2001

Examiner: DUONG, THO V.

Title:

HEAT EXCHANGER ARRANGEMENT ON A FRONT

CARRYING STRUCTURE OF A MOTOR VEHICLE

Confirmation No.: 7559 Customer No.: 23911

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This supplements the Information Disclosure Statement submitted in the above-identified application on May 15, 2001.

In accordance with the duty of disclosure under 37 CFR §1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem relevant to patentability of the claims of the above-identified application.

# CONCISE EXPLANATION OF NON-ENGLISH LANGUAGE DOCUMENTS

In compliance with the concise explanation requirement under 37 CFR §1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a corresponding foreign Search Report citing such documents, together with an English-language version of that portion of the Search Report indicating the degree of relevance found by the foreign office.

The present Information Disclosure Statement is being filed after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action or Notice of Allowance (whichever is earlier), and therefore Applicant is filing concurrently herewith a Statement under 37 CFR §1.97(e). No fee under 37 CFR §1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

If necessary, the paper should be considered as a petition for consideration of the Information Disclosure Statement under 37 C.F.R. §1.97(d)(2) and that the petition fee set forth in 37 C.F.R. §1.17(i) in accordance with 37 C.F.R. §1.97(d)(3) should be charged to Deposit Account No. 05-1323 (Docket #225/49907).

Respectfully submitted,

October 24, 2003

Donald D. Evenson

Registration No. 26,160 William G. Ackerman

Registration No. 45,320

CROWELL & MORING, LLP P.O. Box 14300 Washington, DC 20044-4300 Telephone No.: (202) 624-2500 Facsimile No.: (202) 628-8844

DDE/WGA/mys:38739

OCT 2 7 2003

## **TECHNOLOGY CENTER R3700**

Sheet 1 of 1

FORM PTO-1449

U.S. Department of Patent & Trademark Office

Attorney Docket No. 225/49907

Serial No. 09/854,919 RECEIVE

Applicant:

BAUMANN et al.

OCT 2 7 2003

INFORMATION DISCLOSURE **STATEMENT** 

(Use several sheets if necessary)

Filing Date May 15, 2001

Group 3843

**ECHNOLOGY CENTER R3700** 

	T -		1	PATENT DOCUMENTS	, <u> </u>	1	T	
Examiner Initial		Document Number	Date	Name	Class	Sub-Class		ng Date propriate)
	AA	5,819,408	10/13/1998	Catlin	29	897.2		
	AB	5,271,473	12/21/1993	Ikeda et al.	180	68.4		
	AC							
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Examiner Initial	`	Document Number	Date	Country	Class	Sub- Class	TRANS Yes	LATION No
	AK	0026078	05/11/2000	PCT			AB	
	AL	19831256 A1	01/13/2000	Germany			AB	
	AM	19843928 A1	04/08/1999	Germany			AB	
	AN	0494116 A2	07/08/1992	Europe				
	AO							
	AP							
	<u> </u>	OTHER DOCU	MENTS (Inclu	iding Author, Title, Dat	e, Pertinent Pa	ages, etc.)		
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not considered. Include copy of this form with next communication.

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#### STATEMENT UNDER 37 CFR § 1.97(e)(1)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

October 24, 2003

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